

# Mistra annual ESG questionnaire

To facilitate Mistra's internal learning and engagement, and to keep the dialogue with our asset managers structured and transparent, we would like to ask you to answer the questions below. Your answers and the following dialogue will form the basis for reporting to the Mistra Asset Management Committee and support further development of our asset management. No fund specific information will be published or distributed externally without your agreement.

All answers should refer to the period 1 January – 31 December 2019 or to the situation on 31 December 2019. If answers are available in reports or other documents you are welcome to refer to these in your answers.

This template is generic and sent to all our asset managers. If a question is not relevant for you, please just indicate this, and why, in your answers. Please send your answers to Åsa Moberg at Mistra ([asa.moberg@mistra.org](mailto:asa.moberg@mistra.org)) by **April 14th 2020**. Mistra Asset Management Committee greatly appreciate your contribution to this process.

We are interested in answers for **the specific fund that Mistra is invested in**. If you manage more than one fund for Mistra, please make clear when answers apply to a specific fund. In addition, you are welcome to provide answers related to your overall organisation. If you do, please make sure that it is clear which answer relates to the specific fund and which not.

## *Changes, Development and Approach*

1. Please describe any **changes** to your investment strategy or process, degree of impact investing, how you identify transformative changes in investment objects, source of investment research or data, scenario analysis, road maps, internal competence, internal structure, time perspective, governance, incentives, etc, or changes to team members.
2. Do you use the TCFD recommendations? How?
3. Do you consider alignment with the Paris Agreement in your activities? How?
4. How is your strategy and portfolio aligned to the SDGs? As an option you can use the excel-template from Mistra attached to the mail.

## *Re-active and Pro-active Engagement*

5. How have you engaged in issues related to companies' visions and efforts to deliver products and services promoting sustainable development, e.g. related to the SDGs, during the period? Please present examples and impact.
6. Have you had any ESG incidents during the period? How have you handled these?
7. How have you used voting during the period? Please present some examples and impact.

## *Other*

8. Other issues 2019 – please present any other issues you would like to highlight.
9. 2020 focus – what upcoming issues or activities do you foresee during the coming year?