

# Societal Transformations for Climate Action

*Information meeting, September 29, 2020*

Mistra – the Swedish Foundation for Strategic Environmental Research

*Q&A – please type into the chat. We will answer during the meeting and come back in written form on our webpage with any remaining questions*



# Agenda

- About Mistra and our funding scheme
- Scope of the current call
- Who can apply
- Impact logic
- Evaluation criteria
- Budget and economy
- Q&A

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# Mistra

- Is an independent research foundation
- Was established in 1994 by the Swedish Government
- Is governed by its statutes and invests its own capital
- Funds strategic environmental research and has been a pioneer in sustainable investments
- Received an endowment of SEK 2.5 billion at start; assets today SEK 3,2 billion
- Has since start invested SEK 4.6 billion in research

The number of ongoing programs and centers are about 20, normally running 2 x 4 years

Since start some 60 programmes and centres have been financed



# Mistra's statutes

## Article 1

*The purpose of the Foundation, whose name shall be the Foundation for Strategic Environmental Research, is to fund **research of strategic importance for a good living environment.***

*The Foundation shall promote the development of **strong research environments of the highest international class** with importance for Sweden's future competitiveness. The research shall be of importance for **finding solutions to important environmental problems and for a sustainable development** of society. Opportunities for achieving industrial applications shall be taken advantage of.*



# How are Mistra programs set up?

- Inter-disciplinary and cross-sectorial
- In collaboration with stakeholders from different sectors of society from the start
- Programmes run for four years with the possibility of a four year extension (pending on a successful mid-term review)
- Programme directors expected to work full-time and an external board supports
- Mistra requires annual reporting on scientific progress, benefits and budget
- Scientific excellence and societal benefits equally important
- In programmes with co-funding, 10 – 20 partners are often included in the consortium
- The host of the programme must be a Swedish institution
- Researchers and organisations active outside Sweden may participate and get funding



# Scope of the current call

- We will fund **one programme** with a maximum of 40 million SEK
  - In this call, no co-funding is required
  - Research groups, jointly with civil society organizations, the public sector and other stakeholders are expected to apply
  - The purpose of the call is to facilitate societal transformation for climate action through research and innovation
  - In line with Mistra's statutes, the research programme shall be of strategic environmental importance.
  - In this call, we specifically emphasise the role of civil society in a broader sense
  - The call focuses on Swedish societal transformations for climate change, but a broader EU level and/or international perspective should also be included
- 
- Focus areas for Climate action
    - Civil society
    - Equality
    - Digitalisation



# Who can apply

- The call addresses research groups in all academic disciplines working at Swedish higher education institutions and research institutes, as well as stakeholders such as civil society organisations, public sector and enterprises
- Researchers and organisations active outside Sweden may participate, but the principal applicant and planned programme host must be a Swedish institution
- Only legal entities can apply for funding



## The proposal must include the following parts (max 40 pages):

- Summary in English and Swedish
- Vision, aims and expected impacts (impact logic in appendix I)
- Scientific value, including state-of-the-art
- Benefits to society
- Management and organisation
- Skills and networks
- Description of work packages, including deliverables
- Communication
- Budget (use the budget template)

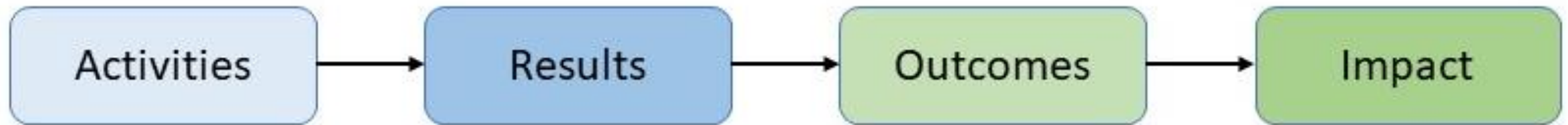
## The following appendices must be attached:

- I. Impact logic (one page maximum visual illustration)
- II. CVs for up to 10 key people (maximum of one page per person)
- III. Certificate from planned programme host





# Impact logic



# Current call – evaluation criteria

- Every proposal will be evaluated against the criteria below, in which potential for environmental problem-solving and contribution to sustainable development are crucial.
1. **Approach**, i.e. how far the programme has a central, coherent idea and an innovative direction, as described in a well-defined impact logic.
  2. **Scientific quality**, i.e. how well the programme meets the requirements of advanced research expertise, theoretical proficiency and methodological quality.
  3. **Benefits**, i.e. how highly developed the collaboration with users of the research results is (and is expected to be), and which supportive communication processes and methods will be used to attain effective implementation.
  4. **Management and organisation**, i.e. the manner in which the programme will be integrated in the host organisation, how it will be governed and structured, and to what degree it will make efficient use of resources.



# Current call – evaluation process

An international expert panel will evaluate all research proposals that meet the formal criteria of the call.

Hearings may be part of the process.

2021

- ✓ 29 January Call closes
- ✓ January - March Proposals are evaluated
- ✓ March Funding decision by Mistra's Board
- ✓ June Programme start (preliminary)



# Economy / budget

- Mistra guidelines specify allowable costs, direct and indirect
- Indirect costs are costs that are not programme specific but concern common resources and include premises and workplaces
  - Indirect costs at higher education institutions max SEK 225 000 per full-time equivalent/year
  - Indirect costs at research institutes, government agencies and other organizations must be agreed with Mistra (unless already done)
- Only legal entities can apply for Mistra funding



# Economy / budget

- Excel template for budget specifications to be used
- Adapt to call, number of work packages, participating institutions and organisations
- Budget with comments  
1-2 pages of the proposal's 40

MISTRA

Budget - application

Proposed name of programme \_\_\_\_\_

Programme host: \_\_\_\_\_

Total budget, KSEK	Year 1	Year 2	Year 3	Year 4	Total budget	Whereof Mistra	Whereof co-funding in-kind	Whereof co-funding in cash	Total budget
Programme mangament and communication					0				0
WP 1					0				0
WP 2					0				0
WP 3					0				0
WP 4					0				0
WP 5					0				0
<b>Summa</b>	0	0	0	0	0	0	0	0	0

Funding per partner, KSEK	Year 1	Year 2	Year 3	Year 4	Budget total	Whereof co-funding in-kind - payroll costs	Whereof co-funding in-kind - travel, materials, equipment	Whereof co-funding in-kind - indirect costs	Whereof co-funding in cash	Total co-funding
Mistra					0					
Companies, municipalities, NGOs, and others	0	0	0	0	0	0	Hours	0	0	0
Partner X					0					0
Partner Y					0					0
Partner Z					0					0
Partner Å					0					0
Partner Ä					0					0
Universities and research institutes	0	0	0	0	0	0	FTEs	0	0	0
Partner A					0					0
Partner B					0					0
Partner C					0					0
Partner D					0					0
Partner E					0					0
<b>SUMMA</b>	0	0	0	0	0	0		0	0	0
Mistra	0	0	0	0	0					
Co-funding	0	0	0	0	0					
Co-funding's share of total funding %					#####					

Funding from Mistra per partner, KSEK	Year 1	Year 2	Year 3	Year 4	Total budget
Partner A					0
Partner B					0
Partner C					0
Partner D					0
Partner Ä					0
<b>SUMMA</b>	0	0	0	0	0

Funding from Mistra	Programme mgmt and communication	WP 1	WP 2	WP 3	WP 4	WP 5	Total funding from Mistra
<b>Budget per work package, KSEK</b>							
Number of full-time equivalents (FTEs)							0
<b>Direct costs</b>							
Payroll costs							0
Travel costs							0
Costs of materials							0
Depreciations							0
External services							0
Other direct costs							0
<b>Total direct costs</b>	0	0	0	0	0	0	0
Contribution to indirect costs							0
Strategic programme reserve							0
<b>Total costs funded by Mistra</b>	0	0	0	0	0	0	0

Expected programme budget	A total of SEK 40 million over four years.
Mistra's financial contribution	Up to 100% of the total programme budget, subject to a maximum of SEK 40 million.
Length of research proposal	Maximum 40 pages + appendices (CV and certificate from programme host).
Research proposals to reach Mistra not later than	Friday 29 January 2021 at 4:00 pm. The application must be sent to <a href="mailto:mail@mistra.org">mail@mistra.org</a> as a single PDF file.



# Q&A



[www.mistra.org](http://www.mistra.org)

