

Questionnaire -Mistra ESG Review of New Asset Managers and Investments

Mistra's investment policy emphasises the preference for long term investments in accordance with the Paris agreement and considering the UN Sustainable Development Goals.

The aim of this questionnaire is to provide decision-support for Mistra when engaging with new asset managers. The focus is on how environmental, social and governance (ESG) issues are handled in investment strategies, decision-making and engagement. If more than one fund is of interest for Mistra, please make clear when answers apply to a specific fund. When answering the questions, reference can be given to reports or fact sheets or these can be attached.

Please send your written answers to Åsa Moberg at Mistra (asa.moberg@mistra.org). We are interested in a close dialogue with our asset managers and may follow up and discuss further issues with you, based on the answers provided. Overall outcomes of the review will be presented to the Mistra Committee for Asset Management.

Mistra and the Mistra Committee for Asset Management greatly appreciate your contribution.

Questions

Please provide answers for the specific fund that Mistra considers investing in, and also when relevant for your organisation on a more general level. Please link to or attach relevant documents when relevant.

Strategy and Implementation

1. Describe your investment strategy and practice, in relation to returns, ESG and impact in relation to the Paris agreement and the UN SDGs. Please, relate to Appendix 1 Asset management for sustainable development in Mistra's investment policy.
2. How are fund managers' performances measured and remunerated?
3. What is your strategy and practice regarding equality internally?

Risk Management and Incidents

4. Describe how you define and communicate ESG incidents.
5. Have you had any ESG incidents during the last 12 months?

Engagement

6. How have you engaged during the last 12 months, what have been the objectives and outcomes?
7. How have you used voting during the last 12 months, and what have been the outcomes?

Other

8. Other issues – please let us know any other issues you would like to highlight.