

## Mistra annual ESG/Sustainability questionnaire

At Mistra, we are committed to driving transformative change and pushing the boundaries of sustainable development both through our research funding and asset management. As asset managers, you have a key role to play. To keep the dialogue with you structured and transparent, we would like to ask you to answer the questions below. Your answers and the following dialogue will form the basis for reporting to the Mistra Asset Management Committee and support further development of our asset management. No fund specific information will be published or distributed externally without your agreement.

All answers should refer to the period 1 January – 31 December 2020 or to the situation on 31 December 2020. If answers are available in reports or other documents you are welcome to refer to these in your answers.

This template is generic and sent to all our asset managers. If a question is not relevant for you, please just indicate this, and why, in your answers. Please send your answers to Åsa Moberg at Mistra ([asa.moberg@mistra.org](mailto:asa.moberg@mistra.org)) by **April 14th 2021**. Mistra's Asset Management Committee greatly appreciates your contribution to this process.

We are interested in answers for **the specific fund that Mistra is invested in**. If you manage more than one fund for Mistra, please make clear when answers apply to a specific fund. In addition, you are welcome to provide answers related to your overall organisation. If you do, please make sure that it is clear which answers relate to the specific fund and which are more general.

### *Changes, Development and Approach*

1. Please describe any **changes** to your investment strategy or process, degree of impact investing, how you identify transformative changes in investment objects, sources of investment research or data, scenario analyses, road maps, internal competence, internal structure, time perspective, governance, incentives, etc, or changes to team members.
2. Do you consider alignment with the Paris Agreement in your activities? How?
3. How is your strategy and portfolio aligned to the SDGs? As an option you can use the excel-template from Mistra attached to the mail.
4. How do you address biodiversity in investments and dialogues?

### *Re-active and Pro-active Engagement*

5. How have you engaged in issues related to companies' visions and efforts to deliver products and services promoting sustainable development, e.g. related to the SDGs, during the period? Please present examples and impact.
6. Have you had any ESG incidents during the period? How have you handled these?
7. How have you used voting during the period? Please present some examples and impact.

### *Other*

8. Other issues 2020 – please present any other issues you would like to highlight.
9. 2021 focus – what upcoming issues or activities do you foresee during the coming year?